



Matthews Asia

matthewsasia.com

Matthews Asia Funds Institutional Account Opening Form

PLEASE READ AND CONSIDER THE RELEVANT PROSPECTUS BEFORE COMPLETING THIS ACCOUNT OPENING FORM.

Please complete using
block capitals,
sign and return to:

Matthews Asia Funds
Transfer Agency

Brown Brothers Harriman
(Luxembourg) S.C.A.

80 Route d'Esch,
L-1470 Luxembourg

Tel:
+352 474 066 7133

Fax:
+352 474 066 7134

1. Account Registration Details

Company Name/Name of Registered Holder:

Account Designation:

If applicable

Registered Address:

No P.O. Box or C/o addresses.

Street Address

City Postal Code Country

Contact Name

Telephone Number Fax Number

Correspondence Address:

If different from registered address.

Mailing Address, PO Box or C/o

City Postal Code Country

2. Type of Investor

- The subscriber is a Direct Investor
- The subscriber is a Financial Intermediary
 - The subscriber is a Custodian for a single investor (i.e. fund, trust)
 - The subscriber is a Nominee for multiple investors who are the ultimate beneficial owners of the Shares
 - The subscriber is a Nominee for a single investor who is the ultimate beneficial owner of the Shares
 - The subscriber is a platform
 - The participant is investing for its own account
 - The participant is investing for multiple investors who are the ultimate beneficial owners of the Shares
- We confirm, the subscriber/participant is an appointed distributor.

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3. Financial Adviser/Intermediary Details

Please complete if submitting application through a financial adviser or other third party.

Name of Adviser		Financial Adviser Number
Name of Company		
Mailing Address		
City	Postal Code	Country
Telephone Number	Fax Number	

Professional Adviser Stamp

We hereby confirm that we have verified the identity of the investor(s) and related parties (e.g. power of attorney holder) by viewing original identification documents. Copies of these documents will be kept by us for a minimum of six years after the termination of the relationship. Certified true copies of said identification documents will be provided to the Fund and/or the Administrator upon request.

X

Financial Adviser's Signature

Dealing instructions from a Professional Adviser may be acted upon in the case where an original Power Of Attorney (POA) is in place. Please forward a duly signed original POA or discretionary agreement which evidences in writing that POA has been granted to the professional adviser. Please provide identification documents of POA holder(s) as per the Anti-Money Laundering (AML) requirements outlined in section 10 of this document.

4. Tax Information

Declaration of Tax Residency

(Note: Declaration of tax residency is requested in the context of the OECD Common Reporting Standard ("CRS"), an initiative to implement automatic exchange of financial account information on a global basis.)

Please indicate the Entity's place of tax residency (if resident in more than one country please detail all countries of tax residence and associated tax identification numbers).

Country of Tax Residency	Tax ID Number
_____	_____
_____	_____
_____	_____

Entity's FATCA Classification

Financial Institutions:

If the Entity is a Financial Institution, please tick one of the below categories, and provide the Entity's GIIN below.

- I. Luxembourg Financial Institution or a Partner Jurisdiction Financial Institution
 II. Registered Deemed Compliant Foreign Financial Institution
 III. Participating Foreign Financial Institution

Please provide the Entity's Global Intermediary Identification number (GIIN) _____

If the Entity is a Financial Institution but unable to provide a GIIN, please tick one of the below reasons:

- I. Partner Jurisdiction Financial Institution and has not yet obtained a GIIN
 II. The Entity has not yet obtained a GIIN but is sponsored by another entity which does have a GIIN

Please provide the sponsor's name and sponsor's GIIN :

Sponsor's Name: _____ Sponsor's GIIN: _____

- III. Exempt Beneficial Owner
 IV. Certified Deemed Compliant Foreign Financial Institution (including a deemed compliant Financial Institution under Annex II of the Agreement)
 V. Non-Participating Foreign Financial Institution
 VI. Excepted Foreign Financial Institution
 VII. U.S. person but not a Specified U.S. person

Non-Financial Institutions:

If the Entity is not a Financial Institution, please confirm the Entity's FATCA status below:

- I. The Entity is an Active Non-Financial Foreign Entity
 II. The Entity is a Passive Non-Financial Foreign Entity (If the Entity is a Passive Non-Financial Foreign Entity, please provide details of any Controlling Persons (whose percentage of ownership is 25% or greater) which are U.S. citizens or resident in the U.S. for tax purposes. The term Controlling Persons is to be interpreted in a manner consistent with the recommendations of the Financial Action Task Force.
 III. The Entity is an Excepted Non-Financial Foreign Entity

Full Name Date of Birth

Full Residence Address

Details of Controlling Person's Beneficial Ownership

Tax Reference Number

- IV. The Entity is a U.S. person but not a Specified U.S. person

5. Bank Account Details

The bank account details below shall apply to all electronic wires to and from this account.

Bank Name _____ Is this a joint account? Yes No

Bank BIC / SWIFT Code / ABA Number _____ Name(s) of Account Holder / Name(s) of the Account _____

Beneficiary Bank Name _____ Account Number _____

Bank BIC / SWIFT Code / ABA Number _____ Reference _____

Bank Address _____ IBAN Number _____

Currency _____

I am/we are instructing through a clearing house or dealing platform (only applicable if funds eligible as per prospectus):

- Clearstream Fundsettle
 Euroclear EMX
 Vestima

Participant Number

Investors who wish to invest in other currencies should contact the Transfer Agency.

6. Investment Details

Please indicate in which funds you wish to invest:

Fund Name	ISIN Code	Amount to Invest	Currency (EUR, USD, GBP, HKD, JPY)
_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____

If you are already invested in Matthews Asia Funds, please provide your client account number: _____

7. Wire Transfer Instructions

Total subscription amount payable to be remitted indicating shareholder's name, account number and deal reference (if known) to the following bank details:

Currency	Correspondent Bank	In favour of	For account of	Account #	Reference
EUR	HSBC Continental Europe CCFRFRPP FR7630056000100010000512237	Brown Brothers Harriman, NY BBHCUS33	Matthews Asia Funds Sub/Red account	1174820	Register + Order Number
GBP	Barclays Bank PLC BARCGB22 GB77BARC20325353623157	Brown Brothers Harriman, NY BBHCUS33	Matthews Asia Funds Sub/Red account	1174820	Register + Order Number
USD	Citibank NA, New York Swift CITIUS33 9250276	Brown Brothers Harriman, NY BBHCUS33	Matthews Asia Funds Sub/Red account	1174820	Register + Order Number
HKD	The Hong Kong and Shanghai Banking Corporation Ltd, Hong Kong HSBCHKHH 002893337001	Brown Brothers Harriman, NY BBHCUS33	Matthews Asia Funds Sub/Red account	1174820	Register + Order Number
JPY	BOTKJPJT The Bank of Japan Mitsubishi UFJ Ltd, Tokyo 653 0415103	Brown Brothers Harriman, NY BBHCUS33	Matthews Asia Funds Sub/Red account	1174820	Register + Order Number

8. Distribution Options

All distributions will be reinvested unless otherwise indicated.

- Reinvest
- Cash. *If no bank details are provided, reinvest option will apply.*

9. Key Investor Information Document

Investors with accounts serviced in the EU/EEA or in a country where the Key Investor Information Document (KIID) is a mandatory document are required to complete this section.

- I/We hereby confirm that I/we have received and read the Key Investor Information Document for the Share Class(es) in which I/we wish to invest. I/We confirm that any future investments to any other Sub-Fund or Share Class of the Matthews Asia Funds as referenced within this form can also be transacted based on this confirmation.

Please tick the box below if you would like to benefit from electronic access to Key Investor Information Documents and make sure that your email address is stated in Section 1 above.

- I/We agree and consent to access Key Investor Information Document(s) required for any future investments to any other share class of the Matthews Asia Funds by accessing global.matthewsasia.com/KIID.

10. General Provisions

AML documentation required:

- ✓ *In the case of a corporation or other legal entity, as a minimum:*
 - ✿ A certified true copy of the Certificate of Incorporation or recent extract of public company register and Articles of Association.
 - ✿ A current and dated authorised signatory list on company letterhead. An original copy or a certified true copy signed on each page in wet ink.

10. General Provisions *(continued)*

✓ *In the case of Power of Attorney (POA):*

- ✳ Certified true copies of identification documents, including the POA and an authorised signature list of the POA holder(s), must be received and validated by the Transfer Agency (Administrator) before the POA becomes effective.

The following persons are considered suitable persons to certify documentation: Notary Public; Practicing Solicitors; Police Force; Practicing Chartered & Certified Public Accountants; Embassy/Consular Staff.

The Company and the Administrator reserve the right to ask for additional identification documents depending on the nature of the legal entity and jurisdiction.

Declarations and Signature(s):

As a shareholder, I/we by signing hereunder expressly declare, acknowledge and confirm the following:

- ✳ Subscription orders will only be accepted if all supporting registration documentation have been received and validated by the Transfer Agency before the relevant cut-off time as detailed in the latest copy of the prospectus.
- ✳ I/We have read a copy of the latest prospectus of the fund and am/are fully aware of and understand the financial risk associated with a subscription to the fund and I/we accept that any market fluctuations may lead to a loss of all or part of my/our investment.
- ✳ I/We agree to transfer the correct subscription amount in the correct currency within the prescribed time limit to the correct bank account. I/We understand that in the case of late payment or non-payment of the correct subscription amount the subscription may be cancelled and the fund may take action, non-judicial or otherwise against me/us/our representative in order to recover any losses or costs incurred as a result of late or non-payment.
- ✳ I am/We are not a US Person (see prospectus for definition) and am/are not applying for shares on behalf of any US Person.
- ✳ When making and signing an application as joint-holders, all registered holders must jointly authorize any dealing or other instructions in writing unless a separate signing authority has been confirmed under section 1 of this document. For additional joint-holders a separate notice must be completed and returned to the Transfer Agency.

Data Protection:

- ✳ Pursuant to the provisions of the applicable Luxembourg data protection law, and, as of 25 May 2018, of the Regulation (EU) 2016/679 of the European Parliament and of the Council of 27 April 2016 on the protection of natural persons with regard to the processing of personal data and on the free movement of such data (the “**Data Protection Law**”), the Investor is informed that any personal data that is furnished in connection with an investment in Matthews Asia Funds (the “Fund”) may be held on computers and processed by the Fund, acting as data controller.

Personal data processed may include: the name, address, tax identification number(s), date and place of birth, account number, data on transactions, trading and settlement of the Investor’s contact person(s) and/or beneficial owner(s) (the “**Personal Data**”).

- ✳ The Investor undertakes and guarantees to process Personal Data and to supply such Personal Data to the Fund in compliance with the Data Protection Law, including, where appropriate, informing the data subjects (i.e. contact person(s) and/or beneficial owner(s)) concerned of the contents of the present clause “Data protection”, in accordance with Articles 12, 13 and/or 14 of the Regulation n°2016/679 of 27 April 2016 on the protection of natural persons with regard to the processing of personal data and on the free movement of such data.
- ✳ Investors may refuse to communicate Personal Data to the Fund, in this case however, the Fund may reject their request for shares in the Fund.
- ✳ Personal Data may be processed for the purposes of (i) carrying out the services required by the investor, of the Investment Manager, Global Distributor, Distributors or Administrative Agent and, to (ii) administering the holdings in the Fund, (iii) maintaining the register of investors, (iv) complying with the Fund’s legal obligations, including legal its obligations under applicable company law and anti-money laundering legislation as well as other applicable regulation like the FATCA Law and the CRS Law (as defined below under section “Taxation – 4. FATCA and CRS”).
- ✳ The Investor is hereby informed that the Personal Data may be transferred to the Fund’s data recipients (the “Recipients”) which includes Brown Brothers Harriman (Luxembourg) S.C.A., acting as Custodian, Administrative Agent, Domiciliary Agent, Paying Agent, Registrar and Transfer Agent for Matthews Asia Funds, as well as other entities within the Brown Brothers Harriman & Co. group (the “BBH Entities”), Carne Global Fund Managers (Luxembourg) S.A. acting as Management Company as well as other entities within the Carne Global Financial Services Ltd (Ireland) group (“the Carne Entities”), the Investment Manager, Global Distributor, Distributors or their delegates, regulatory bodies with competent jurisdiction over any of the BBH Entities, tax authorities, and with other third parties including the Investor’s financial advisors (where appropriate), auditors and technology providers, for the purposes specified above.
- ✳ The Investor is informed that the Recipients collect, use, process, share, store and, under their own responsibility, transmit Personal Data for any of the purposes described above. The Recipients may, as the case may be, process Personal Data as data processors (when processing Personal Data upon the Fund’s instructions, to assist the Fund in the context of the aforementioned purposes) or as distinct data controllers (when processing Personal Data for their own purposes).
- ✳ The Investor is informed that its Personal Data will be shared on a cross-border basis between and among the Recipients, acting under their own responsibility, which are located in various jurisdictions.
Recipients may be located outside the European Economic Area (the “EEA”) in countries that do not offer an adequate level of protection to personal data, including the United States of America, Hong Kong, Japan, and the Cayman.
In such cases, said transfers shall be made on the basis of adequate contractual arrangements, which may take the form of the European Commission “Model Clauses”. A copy of such “Model Clauses” may be obtained by writing to the Fund at Matthews Asia Funds, 80, route d’Esch, L-1470 Luxembourg or via email at privacy@matthewsasia.com.
The Personal Data may also be disclosed to the Luxembourg tax authorities, which in turn may acting as data controller, disclose the same to foreign tax authorities.

10. General Provisions *(continued)*

Moreover, the Investor is hereby informed that the Fund acting as data controller collects, stores and processes the Personal Data for the purpose of fulfilling the services required by the Investor and complying with its legal obligations such as: (i) maintaining the register of Investors; (ii) processing subscriptions, redemptions and conversions of shares and payments of dividends to Investors; (iii) maintaining controls in respect of late trading and market timing practices; (iv) complying with applicable anti-money laundering rules; and (v) marketing.

- ✳ Under certain conditions set out by the Data Protection Law, the Investor is moreover hereby informed that (i) it has a right to access its Personal Data, (ii) to ask for a rectification thereof in cases where such Personal Data is inaccurate or incomplete, (iii) to object to the processing of its Personal Data, (iv) to ask for deletion of such data, and (v) to ask for data portability. The Investor may exercise the rights thereto by contacting the Fund in writing in this regard at Matthews Asia Funds, 80, route d'Esch, L-1470 Luxembourg or via email at privacy@matthewsasiasia.com.
- ✳ Each Investor also has a right to lodge a complaint with the Luxembourg data protection Authority (CNPD).
- ✳ Personal Data shall not be held for longer than necessary with regard to the purpose of the data processing, subject to statutory periods of limitation.

Fax & Email Indemnity:

- ✳ I/We confirm that the Company and the Administrator may receive and act upon my/our faxed instructions, which the Administrator believes has been given in good faith. I/We agree to hold the Administrator harmless and to indemnify the Administrator for any loss or damage, which the Administrator may suffer as a result of acting on such faxed instructions. I/We agree that the Transfer Agency will not accept any transaction instructions or requests to change of any account static data by email.
- ✳ The fund or its Agent shall communicate to me/us at my/our own risk. I/we agree to accept communications conveyed by electronic means such as facsimile or email and acknowledge that these are not secure forms of communication, which may accordingly give rise to higher risks of manipulation or attempted fraud, for which the Manager of any of its delegates shall have no liability.
- ✳ I/We consent to details relating to my/our application and holdings being accessed by or disclosed to the Company's Promoter/Investment Manager, Matthews International Capital Management, LLC, and those Matthews International Capital Management, LLC companies to which it delegates marketing and investor servicing duties.

Fax Instructions:

We will accept instructions sent via fax, unless you mark the following box. If you mark the box then we will only accept instructions via original letter/form.

I/We confirm that the Company and the Administrator is NOT to act upon my/our faxed instructions.

Beneficial Owner Declaration:

I am/We are investing on my/our own behalf and I am/we are the ultimate economic beneficiary(ies) of the funds and any subsequent income invested.

I am/We are investing on behalf of a third party who is the ultimate economic beneficiary of the funds and any subsequent income invested. If investing on behalf of a third party, the third party has to be fully identified.

For UK Residents:

Matthews Asia Funds has elected to be 'Reporting Funds' for UK tax purposes. If you invest in the Matthews Asia Funds, you will need to review the yearly information posted at global.matthewsasiasia.com/uktax and include the relevant details on your UK tax return.

Declarations and Undertakings

I/We declare (as an authorised signatory of the Entity) that the information provided in this form is, to the best of my/our knowledge and belief, accurate and complete.

X
Authorised Signature 1 _____ Full Name (in block capitals)

Capacity in which declaration is made _____ Job Title

X
Authorised Signature 2 _____ Full Name (in block capitals)

Capacity in which declaration is made _____ Job Title

X
Authorised Signature 3 _____ Full Name (in block capitals)

Capacity in which declaration is made _____ Job Title

X
Authorised Signature 4 _____ Full Name (in block capitals)

Capacity in which declaration is made _____ Job Title

_____ Date

_____ Place