



Matthews Asia Funds Individual Investor Account Opening Form

PLEASE READ AND CONSIDER THE RELEVANT PROSPECTUS BEFORE COMPLETING THIS ACCOUNT OPENING FORM.

1. Account Registration Details

First Account Holder

Mr Mrs Ms

First Name(s) Surname

Registration Address*

Only one registered address can be accepted. No P.O. Box or C/o addresses.

Street Address

City Postal Code Country

Email Address

Date of Birth (mm-dd-ccyy) City of Birth Country of Birth

Passport/ID Number Issued at (Country)

Tax ID Number Country of Taxation

Please enclose a certified copy of a valid Passport or official identity card. The following persons are considered suitable persons to certify documentation: Notary Public; Practicing Solicitors; Police Force; Practicing Chartered & Certified Public Accountants; Embassy/Consular Staff.

*** If you are a U.K. resident, you may invest in Class I Shares of the Funds. You are subject to the same minimum investment and subsequent minimum investment amount of Class A Shares of the Funds.**

Second Account Holder or **Minor Holder**

Mr Mrs Ms

First Name(s) Surname

Date of Birth (mm-dd-ccyy) City of Birth Country of Birth

Passport/ID Number Issued at (Country)

Tax ID Number Country of Taxation

For additional account holders, please attach a separate sheet providing the information requested above for each.

2. Correspondence (Mailing) Address

If you would like your statements, shareholder reports and other Fund communications mailed to a different address than the one provided in Section 1, provide it below:

Mailing Address, PO Box or C/o

City Postal Code Country

Email Address

Please complete using block capitals, sign and return to:
Matthews Asia Funds Transfer Agency
Brown Brothers Harriman (Luxembourg) S.C.A.
80 Route d'Esch, L-1470 Luxembourg
Tel: +352 474 066 7133
Fax: +352 474 066 7134

3. Financial Adviser Details

Please complete if submitting application through a financial adviser or other third party.

| | | |
|------------------|-------------|--------------------------|
| Name of Adviser | | Financial Adviser Number |
| Name of Company | | |
| Mailing Address | | |
| City | Postal Code | Country |
| Telephone Number | Fax Number | |

Professional Adviser Stamp

We hereby confirm that we have verified the identity of the investor(s) and related parties (e.g. power of attorney holder) by viewing original identification documents. Copies of these documents will be kept by us for a minimum of six years after the termination of the relationship. Certified true copies of said identification documents will be provided to the Fund and/or the Administrator upon request.

Financial Adviser's Signature

4. Bank Account Details: Subscription (Source of Funds)

Please provide below full banking details for the bank account from which the appropriate subscription settlement is being received. Settlement can only be accepted by telegraphic transfer from a bank account on the investor's behalf.

| | |
|------------------------------------|--|
| Bank Name | Yes <input type="checkbox"/> No <input type="checkbox"/> |
| Bank BIC / SWIFT Code / ABA Number | Is this a joint account? |
| Beneficiary Bank Name | Name(s) of Account Holder / Name(s) of the Account |
| Bank BIC / SWIFT Code / ABA Number | Account Number |
| Bank Address | Reference |
| | IBAN Number |
| | Currency |

If subscribing for share/unit classes denominated in multiple currencies, please include bank account details for all applicable currencies.

5. Investment Details

Please indicate in which funds you wish to invest.

| Fund Name | ISIN Code | Amount to Invest | Currency (EUR, USD, GBP, HKD) |
|-----------|-----------|------------------|-------------------------------|
| _____ | _____ | _____ | _____ |
| _____ | _____ | _____ | _____ |
| _____ | _____ | _____ | _____ |
| _____ | _____ | _____ | _____ |

If you are already invested in Matthews Asia Funds, please provide your client account number: _____

Investors who wish to invest in other currencies should contact the Transfer Agency.

6. Wire Transfer Instructions

Total subscription amount payable to be remitted indicating shareholder's name, account number and deal reference (if known) to the following bank details:

| Currency | Correspondent Bank | In favour of | For account of | Account # | Reference |
|----------|---|--|--|-----------|----------------------------|
| EUR | HSBC Continental Europe CCFRFRPP FR7630056000100010000512237 | Brown Brothers Harriman, NY BBHCUS33 | Matthews Asia Funds Sub/Red account | 1174820 | Register + Order Number |
| GBP | Barclays Bank PLC BARCGB22 GB77BARC20325353623157 | Brown Brothers Harriman, NY BBHCUS33 | Matthews Asia Funds Sub/Red account | 1174820 | Register + Order Number |
| USD | Citibank NA, New York Swift CITIUS33 9250276 | Brown Brothers Harriman, NY BBHCUS33 | Matthews Asia Funds Sub/Red account | 1174820 | Register + Order Number |
| HKD | The Hong Kong and Shanghai Banking Corporation Ltd, Hong Kong HSBCHKHH 002893337001 | Brown Brothers Harriman, NY BBHCUS33 | Matthews Asia Funds Sub/Red account | 1174820 | Register + Order Number |

7. Distribution Options

All distributions will be reinvested unless otherwise indicated.

Reinvest

Cash. *If no bank details are provided, reinvest option will apply.*

8. Key Investor Information Document

Investors with accounts serviced in the EU/EEA or in a country where the Key Investor Information Document (KIID) is a mandatory document are required to complete this section.

I/We hereby confirm that I/we have received and read the Key Investor Information Document for the Share Class(es) in which I/we wish to invest. I/We confirm that any future investments to any other Sub-Fund or Share Class of the Matthews Asia Funds as referenced within this form can also be transacted based on this confirmation.

Please tick the box below if you would like to benefit from electronic access to Key Investor Information Documents and make sure that your email address is stated in Section 1 above.

I/We agree and consent to access Key Investor Information Document(s) required for any future investments to any other share class of the Matthews Asia Funds by accessing global.matthewsasias.com/KIID.

9. General Provisions

AML documentation required:

✓ *In the case of an individual/beneficial owner/all joint applicants:*

- ✳ A certified true copy of a valid passport or of an official identity card duly certified by a public authority. Certification may be performed by a public authority such as a governmental agency, notary, the police in country of residence or the embassy/consulate and the date of certification must be within the previous 12 months.
- ✳ A copy of a utility bill must be dated within the previous three (3) months.
- ✳ PLEASE NOTE: If your signature does not appear on your passport or official identity card, your signature specimen will have to be certified together with the copy of the identity document.

✓ *In the case of a minor*

- ✳ A certified true copy of the minor's birth certificate
- ✳ A certified true copy of the passports of all legal guardians

Declarations and Signature(s):

As a shareholder, I/we by signing hereunder expressly declare, acknowledge and confirm the following:

- ✳ Subscription orders will only be accepted if all supporting registration documentation have been received and validated by the Transfer Agency before the relevant cut-off time as detailed in the latest copy of the prospectus.
- ✳ I/We have read a copy of the latest prospectus of the fund and am/are fully aware of and understand the financial risk associated with a subscription to the fund and I/we accept that any market fluctuations may lead to a loss of all or part of my/our investment.
- ✳ I/We agree to transfer the correct subscription amount in the correct currency within the prescribed time limit to the correct bank account. I/We understand that in the case of late payment or non-payment of the correct subscription amount the subscription may be cancelled and the fund may take action, non-judicial or otherwise against me/us/our representative in order to recover any losses or costs incurred as a result of late or non-payment.
- ✳ I am/We are not a US Person (see prospectus for definition) and am/are not applying for shares on behalf of any US Person.
- ✳ When making and signing an application as joint-holders, all registered holders must jointly authorize any dealing or other instructions in writing unless a separate signing authority has been confirmed under section 1 of this document. For additional joint-holders a separate notice must be completed and returned to the Transfer Agency.

9. General Provisions (continued)

Data Protection:

- ✳ Pursuant to the provisions of the applicable Luxembourg data protection law, and, as of 25 May 2018, of the Regulation (EU) 2016/679 of the European Parliament and of the Council of 27 April 2016 on the protection of natural persons with regard to the processing of personal data and on the free movement of such data (the “Data Protection Law”), the Investor is informed that any personal data that is furnished in connection with an investment in Matthews Asia Funds (the “Fund”) may be held on computers and processed by the Fund, acting as data controller.
- ✳ Personal data processed may include: the name, address, tax identification number(s), date and place of birth, account number, data on transactions, trading and settlement of the Investor and any other information required to perform requested services by the Investors (the “Personal Data”).
- ✳ Investors may refuse to communicate their Personal Data to the Fund, in this case however, the Fund may reject their request for shares in the Fund.
- ✳ Personal Data may be processed for the purposes of (i) carrying out the services required by the investor, of the Investment Manager, Global Distributor, Distributors or Administrative Agent and, to (ii) administering the holdings in the Fund, (iii) maintaining the register of investors, (iv) complying with the Fund’s legal obligations, including legal its obligations under applicable company law and anti-money laundering legislation as well as other applicable regulation like the FATCA Law and the CRS Law (as defined below under section “Taxation – 4. FATCA and CRS”).
- ✳ The Investor is hereby informed that the Personal Data may be transferred to the Fund’s data recipients (the “Recipients”) which include Brown Brothers Harriman (Luxembourg) S.C.A., acting as Custodian, Administrative Agent, Domiciliary Agent, Paying Agent, Registrar and Transfer Agent for Matthews Asia Funds, as well as other entities within the Brown Brothers Harriman & Co. group (the “BBH Entities”), Carne Global Fund Managers (Luxembourg) S.A. acting as Management Company as well as other entities within the Carne Global Financial Services Ltd (Ireland) group (“the Carne Entities”), the Investment Manager, Global Distributor, Distributors or their delegates, regulatory bodies with competent jurisdiction over any of the BBH Entities, tax authorities, and with other third parties including the Investor’s financial advisors (where appropriate), auditors and technology providers, for the purposes specified above.
- ✳ The Investor is informed that the Recipients collect, use, process, share, store and, under their own responsibility, transmit Personal Data for any of the purposes described above. The Recipients may, as the case may be, process Personal Data as data processors (when processing Personal Data upon the Fund’s instructions, to assist the Fund in the context of the aforementioned purposes) or as distinct data controllers (when processing Personal Data for their own purposes).
- ✳ The Investor is informed that his/her/its Personal Data will be shared on a cross-border basis between and among the Recipients, acting under their own responsibility, which are located in various jurisdictions.
- ✳ Recipients may be located outside the European Economic Area (the “EEA”) in countries that do not offer an adequate level of protection to personal data, including the United States of America, Hong Kong, Japan, and the Cayman.
In such cases, said transfers shall be made on the basis of adequate contractual arrangements, which may take the form of the European Commission “Model Clauses”. A copy of such “Model Clauses” may be obtained by writing to the Fund at Matthews Asia Funds, 80, route d’Esch, L-1470 Luxembourg or via email at privacy@matthewsasia.com.
The Personal Data may also be disclosed to the Luxembourg tax authorities, which in turn may acting as data controller, disclose the same to foreign tax authorities.
Moreover, the Investor is hereby informed that the Fund acting as data controller collects, stores and processes the Personal Data for the purpose of fulfilling the services required by the Investor and complying with its legal obligations such as: (i) maintaining the register of Investors; (ii) processing subscriptions, redemptions and conversions of shares and payments of dividends to Investors; (iii) maintaining controls in respect of late trading and market timing practices; (iv) complying with applicable anti-money laundering rules; and (v) marketing.
- ✳ Under certain conditions set out by the Data Protection Law, the Investor is moreover hereby informed that (i) he/she/it has a right to access his/her/its Personal Data, (ii) to ask for a rectification thereof in cases where such Personal Data is inaccurate or incomplete, (iii) to object to the processing of his/her/its Personal Data, (iv) to ask for deletion of such data, and (v) to ask for data portability. The Investor may exercise the rights thereto by contacting the Fund in writing in this regard at Matthews Asia Funds, 80, route d’Esch, L-1470 Luxembourg or via email at privacy@matthewsasia.com.
- ✳ Each Investor also has a right to lodge a complaint with the Luxembourg data protection Authority (CNPD).
- ✳ Personal Data shall not be held for longer than necessary with regard to the purpose of the data processing, subject to statutory periods of limitation.

Fax & Email Indemnity:

- ✳ I/We confirm that the Company and the Administrator may receive and act upon my/our faxed instructions, which the Administrator believes has been given in good faith. I/We agree to hold the Administrator harmless and to indemnify the Administrator for any loss or damage, which the Administrator may suffer as a result of acting on such faxed instructions. I/We agree that the Transfer Agency will not accept any transaction instructions or requests to change of any account static data by email.
- ✳ The fund or its Agent shall communicate to me/us at my/our own risk. I/we agree to accept communications conveyed by electronic means such as facsimile or email and acknowledge that these are not secure forms of communication, which may accordingly give rise to higher risks of manipulation or attempted fraud, for which the Manager of any of its delegates shall have no liability.
- ✳ I/We consent to details relating to my/our application and holdings being accessed by or disclosed to the Company’s Promoter/Investment Manager, Matthews International Capital Management, LLC, and those Matthews International Capital Management, LLC companies to which it delegates marketing and investor servicing duties.

Source of Wealth:

As required by the legislation, I/We declare that the origin of the funds used for subscription is coming from:

Examples of Source of Investment may include:

- ✳ Salary/Bonus
- ✳ Sale of Investment / Dividend of Investment
- ✳ Sale of Property
- ✳ Inheritance / Gift
- ✳ Legal Settlement
- ✳ Lottery / Gambling
- ✳ Retirement Income
- ✳ Company Profit
- ✳ Company Sale

Further supporting documentation may be requested if deemed necessary to verify the above information.

Occupation:

Type, name of the company if applicable, location

Beneficial Owner Declaration:

- I am/We are investing on my/our own behalf and I am/we are the ultimate economic beneficiary(ies) of the funds and any subsequent income invested.
- I am/We are investing on behalf of a third party who is the ultimate economic beneficiary of the funds and any subsequent income invested. If investing on behalf of a third party, the third party has to be fully identified.

Declaration of U.S. Citizenship or U.S. Residence for Tax Purposes:

Please tick either (a) or (b) and complete as appropriate.

- (a) I confirm that **I am** a U.S. citizen and/or resident in the U.S. for tax purposes and my U.S. federal taxpayer identifying number (U.S. TIN) is as follows:

OR

- (b) I confirm that **I am not** a U.S. citizen or resident in the U.S. for tax purposes.

For UK Residents:

Matthews Asia Funds has elected to be 'Reporting Funds' for UK tax purposes. If you invest in the Matthews Asia Funds, you will need to review the yearly information posted at global.matthewsasias.com/uktax and include the relevant details on your UK tax return.

Signatures:

X _____
Signature 1

X _____
Signature 2

X _____
Signature 3

X _____
Signature 4

Date

Place

Documentation must be submitted in original or certified true copy form. The following persons are considered suitable persons to certify documentation:

- ✿ Notary Public
- ✿ Practising Solicitors
- ✿ Police Force
- ✿ Practising Chartered & Certified Public Accountants
- ✿ Embassy / Consular Staff



Mandatory FATCA IGA and CRS Self-Certification (Individual)

Please read before completing this form:

Tax authorities require Matthews Asia Funds to collect and report certain information about its account holder's tax residency status. To enable Matthews Asia Funds to comply with its obligation to report to the relevant tax authorities, you are required to state the residency for tax purposes of the person or persons identified as the holder(s) of a Financial Account. On this form these persons are cumulatively referred to as the Account Holder(s). For joint accounts, each account holder should complete a separate certification.

1. Account Holder Information

| | | | |
|-------------------------------------|----------------|------------------------------|--------------------------------------|
| _____ First Name | | _____ Family Name/Surname | |
| _____ Current Residence Address | | | |
| _____ City/Town | _____ State | _____ Postal Code | _____ Country (do not abbreviate) |
| _____ Date of Birth (DD/MM/YYYY) | | _____ City/Town of Birth | _____ Country of Birth |

2. Tax Residence

Please provide details for all jurisdictions in which the Account Holder is resident for tax purposes. If you have no residence for tax purposes then enter "none".

Taxpayer Identification Number (TIN)—A taxpayer identification number (TIN) or functional equivalent should be provided for each country of residence for tax purposes. A TIN is a unique combination of letters and/or numbers assigned to the individual by a government, or its tax authorities, which is used to identify the account holder for the purposes of administering taxes. In some countries this may be a number that is specifically referred to as a TIN, while other countries may use other numbers (such as a social insurance number) to identify their residents for tax purposes.

| | | |
|-------------------------------------|---|---|
| _____ Country of Tax Residence 1 | _____ Taxpayer Identification Number 1 | <input type="checkbox"/> TIN Unavailable |
| _____ Country of Tax Residence 2 | _____ Taxpayer Identification Number 2 | <input type="checkbox"/> TIN Unavailable |
| _____ Country of Tax Residence 3 | _____ Taxpayer Identification Number 3 | <input type="checkbox"/> TIN Unavailable |

TIN Unavailable Explanation(s) – If any "TIN Unavailable" box is checked, please provide an explanation.

I certify the tax residence countries provided represent all countries in which I am considered a tax resident.
If Account Holder has additional countries of tax residence please attach a statement to this form containing the Country and TIN for each such additional country.

3. Declarations and Signature

- ✳ I understand that the information supplied by me is covered by the full provisions of the terms and conditions governing my/the Account Holder's relationship with Matthews Asia Funds setting out how Matthews Asia Funds may use and share the information supplied by me to Matthews Asia Funds.
- ✳ I acknowledge and agree that information contained in this form and information regarding the account(s) set out above may be reported to the tax authorities of the country in which the account is maintained and those tax authorities or Matthews Asia Funds may provide the information to the country or countries in which I/the Account Holder am/is resident for tax purposes.
- ✳ Where required, I agree to provide a waiver to allow this information to be reported to the competent authorities.
- ✳ I undertake to advise Matthews Asia Funds promptly of any change in circumstances which causes the information contained herein to become incorrect and to provide Matthews Asia Funds with a suitably updated Certification within 30 days of such change in circumstances.
- ✳ I certify that I am the Account Holder (or am authorised to sign for the Account Holder) of all the account(s) to which this form relates.
- ✳ I declare that all statements made on this form/in this declaration are, to the best of my knowledge and belief, true correct and complete.

| | | |
|-------------------------|--|----------------------------|
| _____ Name of Signer | _____ Capacity in which Signing (if not signed by account holder) | _____ Date (DD/MM/YYYY) |
|-------------------------|--|----------------------------|

Signature